



JC09 T/PTO 20 FEB 2001 PCT

Attorney Docket: XY-Equine3-USNP

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

In Re the Application of:

Edward L. Squires, Patrick M. McCue and George E. Seidel

For:

Equine System for Non-Surgical Artificial Insemination

Serial Number:

09/744,675

Filed:

January 29, 2001

Assignee:

XY, Inc. and Colorado State University through its agent Colorado State
University Research Foundation

5000

LETTER OF TRANSMITTAL

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Enclosed for filing is

- a.) a Supplemental Information Disclosure Statement under 37 C.F.R. §1.97(b)(3) and §609(B1) MPEP;
- b.) a Supplemental Information Disclosure Citation similar to PTO Form 1449 with copies of the cited references;
- c.) a Certificate of Mailing for each document; and
- d.) this Letter of Transmittal.

Please confirm receipt of the documents by applying your date stamp on the enclosed postcard receipt and returning it to me.

I have this 15 day of February, 2001, either myself personally or through my direction of staff at this office, deposited all of the items in the above letter of transmittal with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated this 15 day of , February, 2001.

Respectfully Submitted,

SANTANGELO Law Offices, P.C.

By: 

Craig Miles

ATTORNEY FOR APPLICANT

PTO No. 45,954

125 South Howes, Third Floor

Fort Collins, Colorado 80521

(303) 224-3100

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

In Re the Application of:

Edward L. Squires, Patrick M. McCue and George E. Seidel

For: Equine System for Non-Surgical Artificial Insemination

Serial Number: 09/744,675

Filed: January 29, 2001

Assignee: XY, Inc. and Colorado State University through its agent Colorado State
University Research Foundation

CERTIFICATE OF FIRST CLASS MAILING

I, Shannon Nation-Kammerer, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor,
Fort Collins, Colorado 80521.

2. I have this day deposited the attached Supplemental Information Disclosure Statement
under 37 CFR §1.97(b)(3) and §609(B1) of the MPEP with the United States Postal Service as "First
Class Mail" for mailing to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated this 15 day of , February, 2001.

Shannon M. Nation-Kammerer



Attorney Docket:XY-Equine3-USNP

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

In Re the Application of:

Edward L. Squires, Patrick M. McCue and George E. Seidel

For: Equine System for Non-Surgical Artificial Insemination

Serial Number: 09/744,675

Filed: January 29, 2001

Assignee: XY, Inc. and Colorado State University through its agent Colorado State
University Research Foundation

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)(3) and § 609(B1) MPEP**

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, §1.97, and §1.98, the information listed and attached may be material to the examination of the referenced application. Pursuant to 37 C.F.R. §1.97(b)(3) and §609(B1) of the MPEP, no fee is required as this information is submitted prior to a first office action on the merits.

Pursuant to 37 C.F.R. §1.97(g), this Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made. A copy of each item is enclosed with a listing similar to PTO Form 1449 for the examiner's convenience.

Dated this 15 day of February, 2001

Respectfully submitted,

SANTANGELO LAW OFFICES, P.C.

By: 

Craig Miles

ATTORNEY FOR APPLICANT

PTO No. 45,954

125 South Howes, Third Floor

Fort Collins, Colorado 80521

(970) 224-3100